

Securities and Exchange Commission, 100 F Street, NE., Washington DC 20549-1090.

All submissions should refer to File Number SR-FINRA-2011-056. This file number should be included on the subject line if e-mail is used. To help the Commission process and review your comments more efficiently, please use only one method. The Commission will post all comments on the Commission's Internet Web site (<http://www.sec.gov/rules/sro.shtml>). Copies of the submission, all subsequent amendments, all written statements with respect to the proposed rule change that are filed with the Commission, and all written communications relating to the proposed rule change between the Commission and any person, other than those that may be withheld from the public in accordance with the provisions of 5 U.S.C. 552, will be available for Web site viewing and printing in the Commission's Public Reference Room, 100 F Street, NE., Washington, DC 20549, on official business days between the hours of 10 a.m. and 3 p.m. Copies of the filing will also be available for inspection and copying at the principal office of FINRA. All comments received will be posted without change; the Commission does not edit personal identifying information from submissions. You should submit only information that you wish to make available publicly. All submissions should refer to File No. SR-FINRA-2011-056 and should be

submitted on or before November 10, 2011.

For the Commission, by the Division of Trading and Markets, pursuant to delegated authority.<sup>17</sup>

**Elizabeth M. Murphy,**  
Secretary.

[FR Doc. 2011-27132 Filed 10-19-11; 8:45 am]

**BILLING CODE 8011-01-P**

**SOCIAL SECURITY ADMINISTRATION**

**Agency Information Collection Activities: Proposed Request and Comment Request**

The Social Security Administration (SSA) publishes a list of information collection packages requiring clearance by the Office of Management and Budget (OMB) in compliance with Public Law (Pub. L.) 104-13, the Paperwork Reduction Act of 1995, effective October 1, 1995. This notice includes revisions to OMB-approved information collections.

SSA is soliciting comments on the accuracy of the agency's burden estimate; the need for the information; its practical utility; ways to enhance its quality, utility, and clarity; and ways to minimize burden on respondents, including the use of automated collection techniques or other forms of information technology. Mail, e-mail, or fax your comments and recommendations on the information collection(s) to the OMB Desk Officer and SSA Reports Clearance Officer at the following addresses or fax numbers.

(OMB), Office of Management and Budget, Attn: Desk Officer for SSA. Fax: 202-395-6974. E-mail address: [OIRA\\_Submission@omb.eop.gov](mailto:OIRA_Submission@omb.eop.gov). (SSA), Social Security Administration, DCBFM, Attn: Reports Clearance Officer, 107 Altmeyer Building, 6401 Security Blvd., Baltimore, MD 21235. Fax No.: 410-966-2830. E-mail address: [OPLM.RCO@ssa.gov](mailto:OPLM.RCO@ssa.gov).

I. The information collections below are pending at SSA. SSA will submit them to OMB within 60 days from the date of this notice. To be sure we consider your comments, we must receive them no later than December 19, 2011. Individuals can obtain copies of the collection instruments by calling the SSA Reports Clearance Officer at 410-965-8783 or by writing to the above e-mail address.

1. *Medical Report on Adult with Allegation of Human Immunodeficiency Virus Infection; Medical Report on Child with Allegation of Human Immunodeficiency Virus Infection—20 CFR 416.933-20; CFR 416.934—0960-0500.* SSA uses Forms SSA-4814-F5 and SSA-4815-F6 to collect information necessary to determine if an individual with human immunodeficiency virus infection, who is applying for Supplemental Security Income (SSI) disability benefits, meets the requirements for presumptive disability payments. The respondents are the medical sources of the applicants for SSI disability payments.

*Type of Request:* Revision of an OMB-approved information collection.

| Collection instrument | Number of responses | Frequency of response | Average burden per response (minutes) | Estimated total annual burden (hours) |
|-----------------------|---------------------|-----------------------|---------------------------------------|---------------------------------------|
| SSA-4814-F5 .....     | 46,200              | 1                     | 10                                    | 7,700                                 |
| SSA-4815-F6 .....     | 12,900              | 1                     | 10                                    | 2,150                                 |
| Totals .....          | 59,100              | .....                 | .....                                 | 9,850                                 |

2. *Supplement to Claim of Person Outside the United States—20 CFR 404.463, 20 CFR 422.505(b) and 20 CFR 407.27(c)—0960-0051.* Claimants or beneficiaries (both United States (U.S.) citizens and aliens entitled to benefits) living outside the U.S. complete Form SSA-21 as a supplement to an application for benefits. SSA collects

the information to determine eligibility for U.S. Social Security benefits for those months a beneficiary or claimant is outside the U.S., and to determine if tax withholding applies. In addition, SSA uses the information to terminate Supplemental Medical Insurance coverage for recipients who request it, because they are or will be out of the

U.S. The respondents are individuals entitled to Social Security benefits who are, will be, or have been residing outside the U.S. for three months or longer.

*Type of Request:* Revision of an OMB-approved information collection.

| Collection instrument        | Number of responses | Frequency of response | Average burden per response (minutes) | Estimated total annual burden (hours) |
|------------------------------|---------------------|-----------------------|---------------------------------------|---------------------------------------|
| SSA-21 (non-residents) ..... | 36,874              | 1                     | 5                                     | 3,073                                 |

<sup>17</sup> 17 CFR 200.30-3(a)(12).

| Collection instrument                      | Number of responses | Frequency of response | Average burden per response (minutes) | Estimated total annual burden (hours) |
|--|---------------------|-----------------------|---------------------------------------|---------------------------------------|
| SSA-21 (U.S. citizens and residents) ..... | 1,941               | 1                     | 15                                    | 485                                   |
| Totals .....                               | 38,815              | .....                 | .....                                 | 3,559                                 |

3. *Credit Card Payment Form—0960-0648.* SSA uses form SSA-1414 to process: (1) Credit card payments from former employees and vendors with outstanding debts to the agency; (2) advance payments for reimbursable

agreements; and (3) credit card payments for all Freedom of Information Act (FOIA) requests requiring payment. The respondents are former employees and vendors who have outstanding debts to the agency, entities who have

reimbursable agreements with SSA, and individuals who request information through FOIA.

*Type of Request:* Revision of an OMB-approved information collection.

| Collection instrument | Number of responses | Frequency of response | Average burden per response (minutes) | Estimated total annual burden (hours) |
|-----------------------|---------------------|-----------------------|---------------------------------------|---------------------------------------|
| SSA-1414 .....        | 6,000               | 1                     | 2                                     | 200                                   |

4. *Benefit Offset National Demonstration—0960-0785.* In an effort to produce strong evidence about the effectiveness of potential solutions that would improve the historically very low rate of return to work among SSDI beneficiaries, SSA is currently conducting the Benefit Offset National Demonstration (BOND) project. The demonstration project will evaluate the result of policy changes and services on the Social Security Disability Insurance (SSDI) program.

Under current law, Social Security beneficiaries lose their SSDI benefit if they have earnings and/or work activity above the threshold of substantial gainful activity (SGA) after completing the trial work period and two-month grace period. The benefit-offset component of this demonstration reduces benefits by \$1 for each \$2 in

earnings above the BOND threshold, resulting in a gradual reduction in benefits as earnings increase.

BOND tests a benefit offset alone and in conjunction with enhanced work incentives counseling. The central research questions include:

- What is the effect of the benefit offset alone on employment and other outcomes?
- What is the effect of the benefit offset in combination with enhanced work incentives counseling on employment and other outcomes?

The public survey data collections for BOND have four components—an impact study, a cost-benefit analysis, a participation analysis, and a process study. The data collections are a primary source for data to measure the effects of a more generous benefit offset and the provision of enhanced work incentives counseling on SSDI

beneficiaries' work efforts and earnings. Ultimately, these data will benefit researchers, policy analysts, policy makers, and the United States Congress in a wide range of program areas.

The effects of BOND on the well-being of SSDI beneficiaries could manifest themselves in many dimensions and could be relevant to an array of other public programs. This project offers the opportunity to obtain reliable measures of these effects based upon a nationally representative sample. The long-term indirect benefits of this research are likely to be substantial. Respondents are SSDI beneficiaries and concurrent SSDI and SSI recipients who we randomly assign to the study (Stage 1), and SSDI beneficiaries who agree to participate in the study (Stage 2).

*Type of Request:* Revision of an OMB-approved information collection.

| Survey                                    | Number of respondents | Frequency of response | Number of responses | Average burden per response (minutes) | Estimated total annual burden (hours) |
|---|-----------------------|-----------------------|---------------------|---------------------------------------|---------------------------------------|
| Participation Agreement .....             | 12,600                | 1                     | 12,600              | 20                                    | 4200                                  |
| Baseline Survey .....                     | 12,600                | 1                     | 12,600              | 41                                    | 8,610                                 |
| Interim Survey .....                      | 10,080                | 1                     | 10,080              | 29                                    | 4,872                                 |
| Stage 1 36-month Survey .....             | 8,000                 | 1                     | 8,000               | 49                                    | 6,533                                 |
| Stage 2 36-month Survey .....             | 10,080                | 1                     | 10,080              | 60                                    | 10,080                                |
| Enhanced Work Incentives Assessment ..... | 3,000                 | 1                     | 3,000               | 35                                    | 1,750                                 |
| Key Informant Interviews .....            | 100                   | 7                     | 700                 | 60                                    | 700                                   |
| Stage 2 Participant Focus Groups .....    | 600                   | 1                     | 600                 | 90                                    | 900                                   |
| Stage 1 First Contact Letter Survey ..... | 500                   | 1                     | 500                 | 3                                     | 25                                    |
| Totals .....                              | 57,560                | .....                 | 58,160              | .....                                 | 37,670                                |

II. SSA submitted the information collection below to OMB for clearance. Your comments regarding the information collection would be most useful if OMB and SSA receive them

within 30 days from the date of this publication. To be sure we consider your comments, we must receive them no later than November 21, 2011. Individuals can obtain copies of the

OMB clearance package by calling the SSA Reports Clearance Officer at 410-965-8783 or by writing to the above e-mail address.

*Claimant's Work Background—20 CFR 404.1565(b) and 20 CFR 416.965(b)—0960–0300.* Sections 205(a) and 1631(e) of the Social Security Act provide the Commissioner of Social Security with the authority to establish procedures for determining if a claimant is entitled to disability benefits. The

administrative law judge (ALJ) may ask individuals to provide background information on Form HA–4633 about work they performed in the past 15 years. The ALJ uses the information to assess an individual's disability based on an updated summary of the individual's relevant work history. The

HA–4633 becomes part of the documentary evidence of record. The respondents are claimants for disability benefits under title II or title XVI who requested a hearing before an ALJ.

*Type of Request:* Revision of an OMB-approved information collection.

| Collection instrument | Number of responses | Frequency of response | Average burden per response (minutes) | Estimated total annual burden (hours) |
|-----------------------|---------------------|-----------------------|---------------------------------------|---------------------------------------|
| HA–4633 .....         | 200,000             | 1                     | 15                                    | 50,000                                |

Dated: October 17, 2011.

**Faye Lipsky,**

*Reports Clearance Officer, Center for Reports Clearance, Social Security Administration.*

[FR Doc. 2011–27222 Filed 10–19–11; 8:45 am]

**BILLING CODE 4191–02–P**

**DEPARTMENT OF STATE**

[Public Notice 7662]

**60-Day Notice of Proposed Information Collection: DS–4184, Risk Management and Analysis (RAM)**

**ACTION:** Notice of request for public comments.

**SUMMARY:** The Department of State is seeking Office of Management and Budget (OMB) approval for the information collection described below. The purpose of this notice is to allow 60 days for public comment in the **Federal Register** preceding submission to OMB. We are conducting this process in accordance with the Paperwork Reduction Act of 1995.

- *Title of Information Collection:* Risk Analysis and Management.
- *OMB Control Number:* None.
- *Type of Request:* New.
- *Originating Office:* Bureau of Administration, Office of Logistics Management (A/LM).
- *Form Number:* DS–4184.
- *Respondents:* Potential contractors and grantees.
- *Estimated Number of Respondents:* 1250.
- *Estimated Number of Responses:* 6250.
- *Average Hours per Response:* 1 hour 15 minutes.
- *Total Estimated Burden:* 7813 hours.
- *Frequency:* On occasion.
- *Obligation to Respond:* Voluntary.

**DATES:** The Department will accept comments from the public up to 60 days from October 20, 2011.

**ADDRESSES** You may submit comments by any of the following methods:

- *E-mail:* [Vazquezeh@State.gov](mailto:Vazquezeh@State.gov).
- *Mail (paper, disk, or CD-ROM submissions):* U.S. Department of State, 2201 C St., NW., SA–15 Room 3200, Washington, DC 20520.
- *Fax:* 703–812–2307.

You must include the DS form number (if applicable), information collection title, and OMB control number in any correspondence.

**FOR FURTHER INFORMATION CONTACT:**

Direct requests for additional information regarding the collection listed in this notice, including requests for copies of the proposed information collection and supporting documents, to Edward H. Vazquez, U.S. Department of State who may be reached by the following methods: Mail: U.S. Department of State, 2201 C St., NW., SA–15 Room 3200, Washington, DC 20520; Tel: 703–812–2308 or e-mail: [Vazquezeh@State.gov](mailto:Vazquezeh@State.gov).

If you have access to the internet you may submit comments online by going to: <http://www.regulations.gov/search/Regs/home.html#home>.

**SUPPLEMENTARY INFORMATION:** We are soliciting public comments to permit the Department to:

- Evaluate whether the proposed information collection is necessary for the proper performance of our functions.
- Evaluate the accuracy of our estimate of the burden of the proposed collection, including the validity of the methodology and assumptions used.
- Enhance the quality, utility, and clarity of the information to be collected.
- Minimize the reporting burden on those who are to respond, including the use of automated collection techniques or other forms of technology.

*Abstract of proposed collection:*

The information collected from individuals and organizations is specifically used to conduct screening to ensure that State funded activities do not provide support to entities or individuals deemed to be a risk to national security.

*Methodology:*

The State Department, is implementing a Risk Analysis and Management Program to vet potential contractors and grantees seeking funding from the Department of State to mitigate the risk that such funds might benefit terrorists or their supporters. To conduct this vetting program the Department envisions collecting information from contractors, sub-contractors, grantees and sub-grantees regarding their directors, officers or key employees. The information collected will be compared to information gathered from commercial, public, and U.S. government databases to determine the risk that the applying organization, entity or individual might use Department funds or programs to benefit terrorist entities. This program will initially be conducted as a pilot program as directed by Congress in the FY 2010 Department of State, Foreign Operations, and Related Programs Appropriations Act, as carried forward in the FY 2011 Full-Year Continuing Appropriations Act.

*Methodology:* We will collect this information either through mail, fax or electronic submission.

Dated: October 14, 2011.

**Catherine I. Ebert-Gray,**

*Deputy Assistant Secretary, Bureau of Administration, Department of State.*

[FR Doc. 2011–27191 Filed 10–19–11; 8:45 am]

**BILLING CODE 4710–24–P**

**DEPARTMENT OF STATE**

[Public Notice 7661]

**Determination Under the Foreign Assistance Act and the Department of State, Foreign Operations, and Related Programs Appropriations Acts**

Pursuant to Section 654(c) of the Foreign Assistance Act of 1961, as amended (FAA), notice is hereby given that the Deputy Secretary of State has made a determination pursuant to Section 620H of the FAA, and Section