

Comments are particularly invited on:

- Whether this collection of information is necessary for the proper performance of functions of the Office of Personnel Management and its Center for Federal Investigative Services, which administers its background investigations;

- Whether our estimate of the public burden of this collection is accurate, and based on valid assumptions and methodology; and,

- Ways in which we can minimize the burden of the collection of information on those who are asked to respond, through the use of the appropriate technological collection techniques or other forms of information technology.

It is estimated that 1.12 million INV 41 inquiries are sent to supervisors and employers annually. Each form takes approximately five minutes to complete. The estimated annual burden is approximately 93,300 hours. It is estimated that 434,000 INV 42 inquiries are sent to individuals annually. Each form takes approximately five minutes to complete. The estimated annual burden is approximately 36,170 hours. It is estimated that 168,000 INV 43 inquiries are sent to educational institutions annually. Each form takes approximately five minutes to complete. The estimated annual burden is approximately 14,000 hours. It is estimated that 871,000 INV 44 inquiries are sent to law enforcement agencies annually. Each form takes approximately five minutes to complete. The estimated annual burden is approximately 72,583 hours. The total number of respondents for the INV 41, INV 42, INV 43, and INV 44 is 1,417,500 and the total estimated burden is 118,125 hours.

For copies of this proposal, contact Mary Beth Smith-Toomey on (202) 606-8358, Fax (202) 418-3251 or e-mail to [mbtoomey@opm.gov](mailto:mbtoomey@opm.gov). Please be sure to include a mailing address with your request.

**DATES:** Comments on this proposal should be received within 60 calendar days from the date of this publication.

**ADDRESSES:** Send or deliver comments to: Kathy Dillaman, Deputy Associate Director, Center for Federal Investigative Services, U.S. Office of Personnel Management, 1900 E. Street, Room 5416, Washington, DC 20415.

#### FOR INFORMATION REGARDING

**ADMINISTRATIVE COORDINATION CONTACT:** Sabrina Price—Program Analyst, Program Services Group, Center for Federal Investigative Services, U.S. Office of Personnel Management, (202) 606-3534.

U.S. Office of Personnel Management.

**Kay Coles James,**  
*Director.*

[FR Doc. 04-16398 Filed 7-19-04; 8:45 am]

**BILLING CODE 6325-38-P**

## OFFICE OF PERSONNEL MANAGEMENT

### Proposed Collection; Comment Request for Revised Information Collections Fingerprint Chart Standard Form 87 (SF-87) and Standard Form 87A (SF-87A), OMB No. 3206-0150

**AGENCY:** Office of Personnel Management.

**ACTION:** Notice.

**SUMMARY:** In accordance with the Paperwork Reduction Act of 1995 (Pub. L. 104-13), this notice announces that the Office of Personnel Management (OPM) intends to submit to the Office of Management and Budget a request for clearance of revised information collections. The Fingerprint Charts (SF-87 and SF-87A) are used in processing fingerprint checks submitted to the Federal Bureau of Investigation (FBI) to assist in determining whether an applicant is suitable for Federal employment and should be granted a security clearance.

The SF-87 and SF-87A are completed by:

- Applicants to Government positions;
- Incumbents of Government positions;
- Contractors for the Government; and
- Military personnel.

The SF-87 and SF-87A are used as the basis for criminal history checks to establish suitability for:

- Initial employment or retention as a Government employee;
- Initial employment or retention as a contract employee;
- Public trust positions; and
- Sensitive or national security positions requiring access to classified national security information or special nuclear information or material.

Comments are particularly invited on:

- Whether this collection of information is necessary for the proper performance of functions of the Office of Personnel Management and its Center for Federal Investigative Services, which administers its background investigations.

- Whether our estimate of the public burden of this collection is accurate, and based on valid assumptions and methodology; and
- Ways in which we can minimize the burden of the collection of

information on those who are asked to respond, through the use of the appropriate technological collection techniques or other forms of information technology.

It is estimated that 363,500 SF-87 or SF-87A inquiries are sent to individuals annually. Each form takes approximately five minutes to complete. The estimated annual burden is approximately 28,630 hours.

For copies of this proposal, contact Mary Beth Smith-Toomey on (202) 606-8358, fax (202) 418-3251 or e-mail to [mbtoomey@opm.gov](mailto:mbtoomey@opm.gov). Please be sure to include a mailing address with your request.

**DATES:** Comments on this proposal should be received within 60 calendar days from the date of this publication.

**ADDRESSES:** Send or deliver comments to: Kathy Dillaman, Deputy Associate Director, Center for Federal Investigative Services, U.S. Office of Personnel Management, 1900 E. Street, Room 5416, Washington, DC 20415.

**FOR FURTHER INFORMATION CONTACT:** SaBrina Price—Program Analyst, Program Services Group, Center for Federal Investigative Services, U.S. Office of Personnel Management, (202) 606-3534.

U.S. Office of Personnel Management.

**Kay Coles James,**  
*Director.*

[FR Doc. 04-16399 Filed 7-19-04; 8:45 am]

**BILLING CODE 6325-38-P**

## OFFICE OF PERSONNEL MANAGEMENT

### Excepted Service

**AGENCY:** Office of Personnel Management.

**ACTION:** Notice.

**SUMMARY:** This gives notice of OPM decisions granting authority to make appointments under Schedules A, B and C in the excepted service as required by 5 CFR 6.6 and 213.103 (b).

**FOR FURTHER INFORMATION CONTACT:** Ms. Cathy Penn, Center for Leadership and Executive Resources Policy, Division for Strategic Human Resources Policy, 202-606-2671.

**SUPPLEMENTARY INFORMATION:** Appearing in the listing below are the individual authorities established under Schedule C between June 1, 2004, and June 30, 2004. Future notices will be published on the fourth Tuesday of each month, or as soon as possible thereafter. A consolidated listing of all authorities as of June 30 is published each year.

*Schedule A:* No Schedule A appointments for June 2004.

*Schedule B:* No Schedule B appointments for June 2004.

*Schedule C:* The following Schedule C appointments were approved for June 2004:

**Section 213.3303 Executive Office of the President**

*Office of Management and Budget*

BOGS00022 Confidential Assistant to the Executive Associate Director. Effective June 10, 2004.

BOGS60034 Confidential Assistant to the Director, Office of Federal Financial Management. Effective June 10, 2004.

*Office of National Drug Control Policy*

QQGS60001 Special Assistant to the Director. Effective June 18, 2004.

*Presidents Commission on White House Fellowships*

WHGS00013 Education Director to the Director, President's Commission on White House Fellowships. Effective June 14, 2004.

**Section 213.334 Department of State**

DSGS60755 Special Assistant to the Assistant Secretary, Bureau of International Narcotics and Law Enforcement. Effective June 03, 2004.

DSGS60775 Special Assistant to the Senior Advisor to the Secretary and White House Liaison. Effective June 14, 2004.

DSGS60766 Supervisory Protocol Officer (Visits) to the Deputy Chief of Protocol. Effective June 15, 2004.

DSGS60774 Special Assistant to the Coordinator. Effective June 17, 2004.

DSGS60776 Special Assistant to the Coordinator. Effective June 17, 2004.

DSGS60777 Special Assistant to the Under Secretary for Public Diplomacy and Public Affairs. Effective June 24, 2004.

**Section 213.3306 Department of Defense**

DDGS16807 Defense Fellow to the Special Assistant to the Secretary of Defense for White House Liaison. Effective June 3, 2004.

DDGS16816 Defense Fellow to the Special Assistant to the Secretary of Defense for White House Liaison. Effective June 3, 2004.

DDGS16811 Special Assistant to the Director, Small and Disadvantaged Business Utilities. Effective June 18, 2004.

DDGS16818 Special Assistant to the Deputy Assistant Secretary of Defense (Military Community and Family Policy). Effective June 18, 2004.

DDGS16820 Defense Fellow to the Special Assistant to the Secretary of Defense for White House Liaison. Effective June 18, 2004.

DDGS16825 Personal & Confidential Assistant to the Principal Deputy Under Secretary of Defense for Policy. Effective June 22, 2004.

**Section 213.3307 Department of the Army**

DWGS00079 Confidential Assistant to the Principal Deputy Assistant Secretary of the Army (Manpower and Reserve Affairs)/Deputy Assistant Secretary (Training, Readiness and Mobilization). Effective June 3, 2004.

**Section 213.3310 Department of Justice**

DJGS00236 Press Assistant to the Director, Office of Public Affairs. Effective June 01, 2004.

DJGS60265 Press Assistant to the Director, Office of Public Affairs. Effective June 24, 2004.

**Section 213.3311 Department of Homeland Security**

DMGS00235 Press Secretary for Bureau of Citizenship and Immigration to the Chief of Staff. Effective June 1, 2004.

DMGS00242 Confidential Assistant to the Director, Local Affairs. Effective June 1, 2004.

DMGS00243 Writer-Editor to the Director of Speechwriting. Effective June 1, 2004.

DMGS00244 Operations Assistant to the Special Assistant. Effective June 2, 2004.

DMGS00238 Executive Assistant to the Director, Office of Systems Engineering and Acquisition. Effective June 4, 2004.

DMGS00241 Assistant Director of Legislative Affairs for Science and Technology to the Assistant Secretary for Legislative Affairs. Effective June 7, 2004.

DMGS00246 Special Assistant to the Assistant Secretary for Information Analysis. Effective June 15, 2004.

**Section 213.3313 Department of Agriculture**

DAGS00717 Special Assistant to the Administrator, Food and Nutrition Service. Effective June 4, 2004.

DAGS00719 Special Assistant to the Deputy Under Secretary for Rural Economic Community Development. Effective June 7, 2004.

DAGS00715 Confidential Assistant to the Secretary of Agriculture. Effective June 14, 2004.

DAGS00720 Special Assistant to the Administrator, Rural Utilities Service. Effective June 14, 2004.

DAGS00718 Special Assistant to the Administrator, Farm Service Agency. Effective June 18, 2004.

**Section 213.3314 Department of Commerce**

DCGS60193 Special Assistant to the Deputy Assistant Secretary for Transportation and Machinery. Effective June 10, 2004.

**Section 213.3315 Department of Labor**

DLGS60055 Special Assistant to the Assistant Secretary for Public Affairs. Effective June 2, 2004.

DLGS60135 Special Assistant to the Secretary of Labor. Effective June 22, 2004.

DLGS60177 Special Assistant to the Assistant Secretary for Public Affairs. Effective June 22, 2004.

**Section 213.3316 Department of Health and Human Services**

DHGS60685 Special Assistant to the Deputy Assistant Secretary for Legislation (Planning & Budget). Effective June 2, 2004.

DHGS60686 Special Assistant to the Director of Medicare Outreach and Special Advisor to the Secretary. Effective June 17, 2004.

**Section 213.3317 Department of Education**

DBGS00329 Special Assistant to the Chief of Staff. Effective June 2, 2004.

DBGS00333 Confidential Assistant to the Senior Advisor to the Secretary. Effective June 2, 2004.

DBGS00330 Confidential Assistant to the Deputy Under Secretary for Innovation and Improvement. Effective June 4, 2004.

DBGS00334 Special Assistant to the Deputy Secretary of Education. Effective June 14, 2004.

DBGS00335 Confidential Assistant to the Deputy Secretary of Education. Effective June 14, 2004.

DBGS00336 Special Assistant to the Senior Advisor to the Secretary. Effective June 16, 2004.

DBGS00337 Confidential Assistant to the Senior Advisor to the Secretary. Effective June 25, 2004.

**Section 213.3325 United States Tax Court**

JCGS60042 Secretary (Confidential Assistant) to the Chief Judge. Effective June 15, 2004.

**Section 213.3328 Broadcasting Board of Governors**

IBGS00017 Special Assistant to the Chairman, Broadcasting Board of Governors. Effective June 25, 2004.

**Section 213.3331 Department of Energy**

DEGS00421 Deputy Assistant Secretary for Budget and Appropriations to the Assistant Secretary for Congressional and Intergovernmental Affairs. Effective June 3, 2004.

DEGS00422 Deputy Director of Advance to the Director, Office of Scheduling and Advance. Effective June 18, 2004.

**Section 213.3332 Small Business Administration**

SBGS60550 Assistant Administrator for Congressional and Legislative Affairs to the Associate Administrator for Congressional and Legislative Affairs. Effective June 2, 2004.

SBGS60060 Special Assistant to the Associate Deputy Administrator for Management and Administration. Effective June 10, 2004.

**Section 213.3337 General Services Administration**

SGSG60079 Senior Advisor to the Regional Administrator, Region 2, New York. Effective June 7, 2004.

SGSG00157 Chief of Staff to the Commissioner, Public Buildings Service. Effective June 24, 2004.

**Section 213.3342 Export-Import Bank**

BGS60054 Special Assistant to the Vice President—Operations. Effective June 18, 2004.

**Section 213.3384 Department of Housing and Urban Development**

DUGS60423 Staff Assistant to the Assistant Secretary for Administration. Effective June 4, 2004.

**Section 213.3394 Department of Transportation**

DTGS60342 Special Assistant for Scheduling and Advance to the Director for Scheduling and Advance. Effective June 4, 2004.

DTGS60317 Deputy Assistant Administrator for Government and Industry Affairs to the Assistant Administrator for Government and Industry Affairs. Effective June 10, 2004.

DTGS60369 Deputy Assistant Secretary for Governmental Affairs to the Assistant Secretary for Governmental Affairs. Effective June 16, 2004.

**Section 213.3396 National Transportation Safety Board**

TBGS60104 Special Assistant to a Member. Effective June 18, 2004.

**Authority:** 5 U.S.C. 3301 and 3302; E.O. 10577, 3 CFR 1954–1958 Comp., P.218

Office of Personnel Management.

**Kay Coles James,**  
*Director.*

[FR Doc. 04–16400 Filed 7–19–04; 8:45 am]

**BILLING CODE 6352–39–P**

**SECURITIES AND EXCHANGE COMMISSION****Proposed Collection; Comment Request**

Upon written request, copies available from: Securities and Exchange Commission, Office of Filings and Information Services, 450 Fifth Street, NW., Washington, DC 20549.

Extension:

Rule 15g–9; SEC File No. 270–325; OMB Control No. 3235–0385.

Notice is hereby given that pursuant to the Paperwork Reduction Act of 1995 (44 U.S.C. 3501 *et seq.*), the Securities and Exchange Commission (“Commission”) is soliciting comment on the collection of information described below. The Commission plans to submit this existing collection of information to the Office of Management and Budget for extension and approval.

Section 15(c)(2) of the Securities Exchange Act of 1934 (the “Exchange Act”) authorizes the Commission to promulgate rules that prescribe means reasonably designed to prevent fraudulent, deceptive, or manipulative practices in connection with over-the-counter (“OTC”) securities transactions. Pursuant to this authority, the Commission in 1989 adopted Rule 15a–6 (the “Rule”), which was subsequently redesignated as Rule 15g–9, 17 CFR 240.15g–9. The Rule requires broker-dealers to produce a written suitability determination for, and to obtain a written customer agreement to, certain recommended transactions in low-priced stocks that are not registered on a national securities exchange or authorized for trading on NASDAQ, and whose issuers do not meet certain minimum financial standards. The Rule is intended to prevent the indiscriminate use by broker-dealers of fraudulent, high pressure telephone sales campaigns to sell low-priced securities to unsophisticated customers. The staff estimates that approximately 240 broker-dealers incur an average burden of 78 hours per year to comply with this rule. Thus, the total burden hours to comply with the Rule is estimated at 18,720 hours (240 x 78).

Written comments are invited on: (a) Whether the proposed collection of information is necessary for the proper

performance of the functions of the agency, including whether the information shall have practical utility; (b) the accuracy of the agency’s estimates of the burden of the proposed collection of information; (c) ways to enhance the quality, utility, and clarity of the information on respondents; and (d) ways to minimize the burden of the collection of information on respondents, including through the use of automated collection techniques or other forms of information technology. Consideration will be given to comments and suggestions submitted in writing within 60 days of this publication.

Please direct your comments to R. Corey Booth, Director/Chief Information Officer, Office of Information Technology, Securities and Exchange Commission, 450 Fifth Street, NW., Washington, DC 20549.

Dated: July 13, 2004.

**Margaret H. McFarland,**  
*Deputy Secretary.*

[FR Doc. 04–16436 Filed 7–19–04; 8:45 am]

**BILLING CODE 8010–01–P**

**SECURITIES AND EXCHANGE COMMISSION**

[Release No. 34–50012; File No. PCAOB–2004–05]

**Public Company Accounting Oversight Board; Notice of Filing of Proposed Rule on Auditing Standard No. 3, Audit Documentation, and an Amendment to Interim Auditing Standards—AU Sec. 543.12, Part of Audit Performed by Other Independent Auditors**

July 14, 2004.

Pursuant to Section 107(b) of the Sarbanes-Oxley Act of 2002 (the “Act”), notice is hereby given that on June 18, 2004, the Public Company Accounting Oversight Board (the “Board” or the “PCAOB”) filed with the Securities and Exchange Commission (the “Commission” or “SEC”) the proposed rules described in Items I and II below, which items have been prepared by the Board and are presented here in the form submitted by the Board. The Commission is publishing this notice to solicit comments on the proposed rules from interested persons. The text of the proposed rules consist of (1) proposed Auditing Standard No. 3, *Audit Documentation* and Appendix A, Background and Basis for Conclusions, and (2) proposed Amendment to Interim Auditing Standard—AU sec. 543.12, *Part of Audit Performed by Other Independent Auditors*.