

byproduct material covered by this Agreement.

The State and the Commission agree to keep each other informed of proposed changes in their respective rules and regulations, and to provide each other the opportunity for early and substantive contribution to the proposed changes.

The State and the Commission agree to keep each other informed of events, accidents, and licensee performance that may have generic implication or otherwise be of regulatory interest.

Article VII

The Commission and the State agree that it is desirable to provide reciprocal recognition of licenses for the materials listed in Article I licensed by the other party or by any other Agreement State. Accordingly, the Commission and the State agree to develop appropriate rules, regulations, and procedures by which such reciprocity will be accorded.

Article VIII

The Commission, upon its own initiative after reasonable notice and opportunity for hearing to the State, or upon request of the Governor of the State, may terminate or suspend all or part of this Agreement and reassert the licensing and regulatory authority vested in it under the Act if the Commission finds that (1) such termination or suspension is required to protect public health and safety, or (2) the State has not complied with one or more of the requirements of Section 274 of the Act. The Commission may also, pursuant to Section 274(j)(2) of the Act, temporarily suspend all or part of this Agreement if, in the judgement of the Commission, an emergency situation exists requiring immediate action to protect public health and safety and the State has failed to take necessary steps. The Commission shall periodically review actions taken by the State under this Agreement to ensure compliance with Section 274 of the Act which requires a State program to be adequate to protect public health and safety with respect to the materials covered by this Agreement and to be compatible with the Commission's program.

Article IX

This Agreement shall become effective on [TBA], and shall remain in effect unless and until such time as it is terminated pursuant to Article VIII.

Dated at Rockville, Maryland, this ____th day of ____, 2000.

For The United States Nuclear Regulatory Commission.

Chairman

Dated at Oklahoma City, Oklahoma this ____th day of ____, 2000.

For The State of Oklahoma.

Governor

[FR Doc. 00-16297 Filed 6-27-00; 8:45 am]

BILLING CODE 7590-01-P

POSTAL SERVICE

Privacy Act of 1974, System of Records

AGENCY: Postal Service.

ACTION: Notice of proposed modifications to existing system of records.

SUMMARY: This document publishes notice of modifications to Privacy Act system of records USPS 010.010, renamed by this notice as "Collection and Delivery Records-Address Change, Mail Forwarding, and Related Services Records." The modifications reflect the electronic collection of information traditionally covered by the system and the collection and maintenance of move-related information.

DATES: Any interested party may submit written comments on the proposed addition and modification. This proposal will become effective without further notice on July 28, 2000, unless comments received on or before that date result in a contrary determination.

ADDRESSES: Written comments on this proposal should be mailed or delivered to Finance Administration/FOIA, United States Postal Service, 475 L'Enfant Plaza SW, RM 8141, Washington, DC 20260-5202. Copies of all written comments will be available at the above address for public inspection and photocopying between 8 a.m. and 4 p.m., Monday through Friday.

FOR FURTHER INFORMATION CONTACT: Betty Sheriff, (202) 268-2608.

SUPPLEMENTARY INFORMATION: Postal customers who move and choose to file a forwarding order with the Postal Service complete PS Form 3575, Change-of-Address Order. Privacy Act system 010.010 covers the collection and maintenance of this information. In recent years, the Postal Service has provided an Internet version of PS Form 3575, along with other information to help customers before, during, and after their move to a new address. Customers complete the form online and print, sign, and mail it to the Postal Service.

Enhancements to the Postal Service's Internet site, which is currently called MoversNet, will result in the collection of additional information, prompting

the need for the system revisions proposed by this notice. Customers will be able to effect change-of-address service online by providing proof of identity such as a credit card number. In addition, customers will be able to order move-related services provided by entities outside of the Postal Service. These services will be offered through a new Internet site called MoversGuide.com, which will replace MoversNet. The move-related services will include moving van rental; sale of moving boxes; and long-distance telephone and cable service, etc. Information needed by the entity to provide service will be collected from the customer by the Postal Service and maintained after for fulfillment and customer service purposes. A customer can elect for the Postal Service to maintain certain information for the customer's use in future transactions on MoversGuide.com. The proposed changes to the categories of records segment of the system notice reflect the addition of this new information.

In addition, the purpose statement has been expanded to include the objective of collecting move-related records to enhance customer service and convenience.

Three new routine uses are being adopted. These routine uses permit disclosure of information about a customer to providers of move-related services at the customer's request; disclosure of change-of-address information to certain government agencies or other entities selected by the customer; and disclosure of information about a customer to an online identity validation system for purposes of verifying the customer's identity. Each of these disclosures is necessary to accomplish the purposes for which the information is collected.

The system modifications are not expected to have an adverse effect on individual privacy rights. Customer identity is verified; customers have control over how much information is kept about them; and the information is securely maintained and transmitted.

Customers may opt to file a change-of-address online or through the mail. To protect individuals who file online against fraud, the Postal Service collects information to establish proof of identity and confirms the address change request by e-mail. Each time a customer uses MoversGuide.com to obtain move-related services, he or she will be given the option to save the information for ordering other products and services or to delete it then or at a later time. If information is saved, the customer must enter a user name and

password to access the information in the future.

Security controls have been applied to protect the information during transmission and physical maintenance. The system will be housed in a restricted area with access controlled by an installed security software package, the use of logon identifications and passwords, and operating system controls. Information is transmitted in a secure session established by Secure Sockets Layer (SSL) or equivalent technology. Digital certificates provide the authentication encryption to enable an SSL connection with the postal customer's Web browser. Any transaction that involves sending information is encrypted on both the sending and receiving end to keep others from viewing it. The Postal Service's secure server does not support browsers that do not transmit encrypted information.

Pursuant to 5 U.S.C. 552a(e)(11), interested persons are invited to submit written data, views, or arguments on this proposal. A report of the amended system has been sent to Congress and to the Office of Management and Budget for their evaluation.

System USPS 010.010 was last published in its entirety at 54 FR 43657-43658 dated October 26, 1989, and amended at 64 FR 8877-8878 dated February 23, 1999. It is proposed that the system description be amended as follows:

USPS 010.010

SYSTEM NAME:

[CHANGE TO READ:]

Collection and Delivery Records-Address Change, Mail Forwarding, and Related Services Records, 010.010.

* * * * *

CATEGORIES OF INDIVIDUALS COVERED BY THE SYSTEM:

[CHANGE TO READ:]

Postal customers requesting mail forwarding and related services from their local postal facilities or through Postal Service Internet services. Any postal customers who are victims of a disaster who have requested mail forwarding services through the American Red Cross.

CATEGORIES OF RECORDS IN THE SYSTEM:

[CHANGE TO READ:]

a. Address change records including customer number, name, old mailing address, new mailing address, mail forwarding instructions, effective date, information about whether the move is permanent or temporary, contact information for customer service, and

any information/records for online proof of identity.

b. Records pertaining to other move-related services provided by entities outside the Postal Service contain customer number, name, address, products, effective date, billing, and identity validation information required to service the customers' requests.

c. Postal customer inquiry/comment records may contain customer number, name, contact information, description of service request, and responses.

d. Outside service provider records contain name of the service provider, customer name, policies related to privacy and customer service, product offerings, and service processing information.

e. Optional customer records contain the information a customer chooses to save to apply to future transactions on the Internet site. They may contain names, addresses, online proof of identification, billing, and other information used to request a service.

f. Internet site usage records contain referral source, search word used to come to the site, Internet Protocol (IP) address, domain name, operating system versions, browser version, page visited, and other information to analyze the usage of the site.

* * * * *

PURPOSE(S):

[CHANGE TO READ:]

a. To provide mail forwarding services to postal customers who have changed addresses.

b. To provide address correction services to postal customers.

c. To provide address information to the American Red Cross about a postal customer who has been relocated because of a disaster.

d. To provide postal customers with Internet access the ability to file fully electronic change-of-address in order to further automate and enhance current address change services.

e. To provide postal customers with Internet access to providers of move-related services as a means of improving customer convenience and service quality.

ROUTINE USES OF RECORDS MAINTAINED IN THE SYSTEM, INCLUDING CATEGORIES OF USERS AND THE PURPOSES OF SUCH USES:

[ADD ROUTINE USE 9, 10, AND 11 AS FOLLOWS:]

9. Postal customer online requests for move-related services will be forwarded in a secured manner to the specified service providers, which may include government agencies and private companies, at the election of the customer online.

10. Change-of-address information from this system may be disclosed to certain government agencies and other entities at the election of the postal customer online.

11. Information from this system shall be disclosed to an authorized online identity validation system for the purpose of verifying the identity of a customer submitting a change-of-address online.

POLICIES AND PRACTICES FOR STORING, RETRIEVING, ACCESSING, RETAINING, AND DISPOSING OF RECORDS IN THE SYSTEM: STORAGE:

[CHANGE TO READ:]

The source document is maintained on file at the delivery unit. They are filed alphabetically by name within a month. Records generated from the source document are recorded on the Forwarding Control System file server and on 8-mm tapes at Computerized Forwarding System units. Electronic change-of-address records and related service records are also stored on disk and/or magnetic tape in a secured environment. Change-of-address records are consolidated in a National Change-of-Address (NCOA) File at the National Customer Support Center (NCSC). Selected extracts of NCOA are provided to a limited number of firms under contract or license agreement with the Postal Service. Records pertaining to move-related services are also transmitted to specific service providers, including government agencies and private companies under contract to the Postal Service.

RETRIEVABILITY:

[ADD:]

By name and address and customer number for electronic change-of-address and related service records. By name, address, and e-mail address for customer service records. By name or service provider number for service provider records. By customer number, name, password, and/or challenging question and answer. The Internet site usage records are summarized for site usage analysis and are not retrieved by personal identifier.

* * * * *

RETENTION AND DISPOSAL:

[CHANGE TO READ:]

a. Change-of-address source document is retained for 18 months from effective date and then destroyed.

b. Change-of-address information on magnetic tape and/or disk at Computerized Forwarding System sites is retained for 18 months from effective date. At the end of that period, the data is automatically purged from the Forwarding Control System.

c. Change-of-address information on magnetic tape at the National Customer Support Center (National Change-of-Address File) is retained for 36 months from effective date.

d. Internet change-of-address and related service records are kept on the online disks for 6 months from the execution date of the requests and archived to offline disks or tapes for an additional 13 months. At the end of that period, the records on offline disks or tapes are erased.

e. Postal customer service records are kept on the online disks for 6 months from the closure date of the request and archived to offline disks or tapes for an additional 7 months. At the end of that period, the records on offline disks or tapes are erased.

f. Outside service provider records are kept on the online disks for 3 months from the date of service termination and archived to offline disks or tapes for an additional 10 months. At the end of that period, the records on offline disks or tapes are erased.

g. Records saved at the customer's option are maintained until the customer decides to delete the previous transaction records or the account is disabled for inactivity. The customer can choose to modify saved records at any time, and the choice will be executed immediately. An erased customer record shall not be recovered or recalled.

h. Internet site usage records are kept on the online disks for 12 months and archived to offline disks or tapes for an additional 13 months. At the end of the period, the records on offline disks or tapes are erased.

RECORD SOURCE CATEGORIES:

The individual to whom the record pertains; service providers; and providers of online identity validation.

Stanley F. Mires,

Chief Counsel, Legislative.

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BILLING CODE 7710-12-P

SECURITIES AND EXCHANGE COMMISSION

[Release No. 34-42964; File No. SR-Amex-00-30].

Self-Regulatory Organizations; Notice of Filing of Proposed Rule Change by the American Stock Exchange LLC Relating to the Allocation of, and Participation in, Options and Index Share Trades

June 20, 2000

Pursuant to Section 19(b)(1) of the Securities Exchange Act of 1934 ("Act"),¹ and Rule 19b-4 thereunder,² notice is hereby given that on May 30, 2000, the American Stock Exchange LLC ("Amex" or "Exchange") filed with the Securities and Exchange Commission ("Commission") the proposed rule change as described in Items I, II, and III below, which Items have been prepared by the Exchange. The Commission is publishing this notice to solicit comments on the proposed rule change from interested persons.

I. Self-Regulatory Organization's Statement of the Terms of Substance of the Proposed Rule Change

The Amex proposes to codify in Rule 950(d) Commentary .05 current practices regarding the allocation of, and participation in, option and index share trades executed on the Exchange by registered options traders and specialists.

II. Self-Regulatory Organization's Statement of the Purpose of, and Statutory Basis for, the Proposed Rule Change

In its filing with the Commission, the Exchange included statements concerning the purpose of, and basis for, the proposed rule change and discussed any comments it received on the proposed rule change. The text of these statements may be examined at the places specified in Item IV below. The Exchange has prepared summaries, set forth in Sections A, B, and C below, of the most significant aspects of such statements.

A. Self-Regulatory Organization's Statement of the Purpose of, and Statutory Basis for, the Proposed Rule Change

1. Purpose

Since the inception of options trading at the Exchange in 1975, both specialists and registered options traders ("traders") have had the responsibility of making markets in options. In

addition, although index shares, portfolio depositary receipts, and trust issued receipts such as SPDRS, DIAMONDS, NASDAQ 100 shares and HOLDRs are equity securities listed and traded under the Exchange's equity rules, they have some of the characteristics of "derivative products," and thus registered options traders are eligible for, and have been assisting specialists in, making markets in these products as well.

The Exchange's rules require that both specialists' and traders' transactions should constitute a course of dealings reasonably calculated to contribute to the maintenance of a fair and orderly market and that specialists and traders should not enter into transactions or make bids or offers that are inconsistent with such a course of dealing.³ Specialists and traders shall engage, to a reasonable degree under the existing circumstances, in dealings for their own accounts when there exists a lack of price continuity, a temporary disparity between the supply of and demand for options contracts of a particular series, or a temporary distortion of the price relationships between option contracts of the same class.⁴ The Exchange notes that the Commission stated in its Order announcing the effectiveness of the Exchange's plan to list and trade options that registered floor traders on the Amex "will be expected to trade in a way *assists* the specialist in maintaining a fair and orderly market. * * *"⁵

The Amex notes that specialists do, however, have additional obligations, which include, among other things, the obligation to (1) Assure that disseminated market quotations are accurate; (2) assure that each disseminated market quotation in appointed options classes shall be honored up to ten contracts, or such other minimum number as set from time to time by the Exchange; (3) determine any formula for generating the automatically updated market quotations and disclosing the elements of the formula to the members of the trading crowd; (4) be present at the trading post throughout every business day; (5) participate at all times in the automated execution system for each assigned option class; and (6) resolve trading disputes, subject to Floor

³ See Amex Rule 170 (concerning specialists)—made applicable to options trading by Rule 950(n)—and rule 958 (concerning registered traders).

⁴ *Id.*

⁵ See Securities Exchange Act Release No. 11144 (December 19, 1974), 40 FR 3258 (January 20, 1975) (emphasis added).

¹ 15 U.S.C. 78s(b)(1).

² 17 CFR 240.19b-4.