

future financial resources and needs of certain multifamily projects. Comprehensive Needs Assessments are authorized by Title IV of the Housing and Community Development Act of 1992 as amended by the Multifamily Housing Property Disposition Reform of 1994 and Section 531 of the Department of Veterans Affairs and Housing and Urban Development, and Independent Agencies Appropriations Act, 1996.

Agency form numbers, if applicable: HUD-96001, HUD-96002.

Estimation of the total numbers of hours needed to prepare the information collection including number of respondents, frequency of response, and hours of response: The estimated number of respondents is 1,000, the frequency of responses is 1, the annual responses are 1,000, the time required to complete HUD-96001 is 1.25 hours and the time required to complete HUD-96002 is 1.25 hours, and the estimated annual hour burden is 2,500.

Status of the proposed information collection: Reinstatement with change.

Authority: The Paperwork Reduction Act of 1995, 44 U.S.C., Chapter 35, as amended.

Dated: December 7, 1999.

William C. Apgar,

Assistant Secretary for Housing-Federal Housing Commissioner.

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BILLING CODE 4210-27-M

DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT

[Docket No. FR-4443-N-09]

Notice of Proposed Information Collection for Community Development Block Grant Program for Indian Tribes and Alaska Native Villages—Notice of Funding Availability

AGENCY: Office of the Assistant Secretary for Public and Indian Housing, HUD.

ACTION: Notice.

SUMMARY: The proposed information collection requirement described below will be submitted to the Office of Management and Budget (OMB) for review, as required by the Paperwork Reduction Act. The Department is soliciting public comments on the subject proposal.

DATES: Comments Due Date: February 8, 2000.

ADDRESSES: Interested persons are invited to submit comments regarding this proposal. Comments should refer to the proposal by name and/or OMB Control number and should be sent to:

Mildred M. Hamman, Reports Liaison Officer, Public and Indian Housing, Department of Housing and Urban Development, 451 7th Street, SW, room 4238, Washington, DC 20410-5000.

FOR FURTHER INFORMATION CONTACT: Mildred M. Hamman, (202) 708-3642, extension 4128, for copies of the proposed forms and other available documents. (This is not a toll-free number).

SUPPLEMENTARY INFORMATION: The Department will submit the proposed information collection to OMB for review, as required by the Paperwork Reduction Act of 1995 (44 U.S.C. chapter 35, as amended).

This Notice is soliciting comments from members of the public and affected agencies concerning the proposed collection of information to: (1) Evaluate whether the proposed collection of information is necessary for the proper performance of the functions of the agency, including whether the information will have practical utility; (2) Evaluate the accuracy of the agency's estimate of the burden of the proposed collection of information; (3) Enhance the quality, utility, and clarity of the information to be collected; and (4) Minimize the burden of the collection of information on those who are to respond, including through the use of appropriate automated collection

techniques or other forms of information technology; e.g., permitting electronic submission of responses.

This Notice also lists the following information:

Title of Proposal: Application for Community Development Block Grant Program Funding for Indian Tribes and Alaska Native Villages.

OMB Control Number: 2577-0191.

Description of the need for the information and proposed use: The Community Development Block Grant Program for Indian tribes and Alaska native villages requires eligible applicants to submit information to HUD to select the best projects for funding during the annual competitions. Additionally, the requirements are essential for HUD in monitoring grants to insure that grantees are making proper use of Federal dollars, and to show employment of force account construction.

Agency form numbers, if applicable: HUD-4121, HUD-4122, HUD-4123, HUD-4125, HUD-4126. Form HUD-4122-I which was used for the Indian HOME Program is cancelled.

Members of affected public: State or Local Government (Indian Tribes and Alaska Native Villages).

Estimation of the total number of hours needed to prepare the information collection including number of respondents, frequency of response, and hours of response: 300 respondents, annually and on occasion, 40 average hours per response, 12,000 hours for a total reporting burden.

Status of the proposed information collection: Extension.

Authority: Section 3506 of the Paperwork Reduction Act of 1995, 44 U.S.C. Chapter 35, as amended.

Dated: December 6, 1999.

Harold Lucas,

Assistant Secretary for Public and Indian Housing.

BILLING CODE 4210-33-M

**Needs Description
Comprehensive Approach**

Indian Community Development
Block Grant (ICDBG)

See Instructions and Public Reporting Statement on back.

**U.S. Department of Housing
and Urban Development**
Office of Public and Indian Housing

OMB Approval No. 2577-0191
(exp. 1/31/2000)

1. Name of Applicant	2. Application/Grant Number (to be assigned by HUD upon submission)	
3. Name of Project	4. <input type="checkbox"/> Original (check here if this is the first submission to HUD)	Date (mm/dd/yyyy)
	<input type="checkbox"/> Amendment (check here if submitted after grant award)	Date (mm/dd/yyyy)
5. Community Development Needs Description (See Instructions on back.)		

Public reporting burden for this collection of information is estimated to average 17 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. This agency may not collect this information, and you are not required to complete this form, unless it displays a currently valid OMB control number.

This collection of information requires that each eligible applicant submit information to enable HUD to select the best projects for funding during annual competitions for the ICDBG Program. The information will be used by HUD to determine whether applications meet minimum screening eligibility requirements and application submission requirements. Applicants provide general information about the project which is preliminary to the review of the applicant's response to the criteria for rating the application. The information is essential for HUD in monitoring grants to ensure that grantees are making proper use of Federal dollars. Responses to the collection are required by Section 105 of the Department of Housing and Urban Development Reform Act (P.L. 101-235) as amended by the Cranston-Gonzales National Affordable Housing Act of 1990. The information requested does not lend itself to confidentiality.

General Instructions: Forms HUD-4121 and HUD-4122 are optional. Forms SF-424, HUD-4123, HUD-4125, and HUD-4126 must be included. For instructions in filling out form SF-424, refer to the form itself.

5. Community Development Needs. Briefly describe the need which this project addresses. Be specific and quantify need if possible. Since extent of need will be considered when project is rated, address specific points in the Notice of Fund Availability which consider need. If need involves serious threat to health and safety, provide evidence to substantiate threat. The type of information that is needed includes the specific problem the project will address, how long problem has existed, what other solutions have been considered, what negative effects will result if problem is not resolved in near future, how proposed project fits overall plan of village/tribe, and priority of this project over other projects for which funding is requested. Additional pages may be attached.

Project Summary

Indian Community Development
Block Grant (ICDBG)

**U.S. Department of Housing
and Urban Development**
Office of Public and Indian Housing

OMB Approval No. 2577-0191
(exp. 1/31/2000)

See Instructions and Public Reporting Statement on back.

Note: Items 1 through 4 on this form should match those items on form HUD-4121.

1. Name of Applicant	2. Application/Grant Number (to be assigned by HUD upon submission)	
3. Name of Project	<input type="checkbox"/> Original (check here if this is the first submission to HUD)	Date (mm/dd/yyyy)
	<input type="checkbox"/> Amendment (check here if submitted after grant award)	Date (mm/dd/yyyy)
5. Description of Project. (See Instructions on back.)		

Check here if additional page(s) are attached.

6. **Low/Moderate Benefit.** Low and moderate income beneficiary as defined in 24 CFR 1003.4.

Number of Persons Served by the Project	Number & Percentage of Low/Moderate Income Persons who Benefit	
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5. Description of Project. The information provided in this application component should describe the scope, magnitude, and method of implementing the project proposed to meet the need(s) described. It should also clearly establish that the two sub-thresholds set forth in Section 1003.301(a)(1)(i) and (ii) are met by the project, specifically that the project costs are reasonable and that the project is appropriate for the intended use. The unit or component costs of the project should be provided as well as on what basis and by whom they were estimated. The inflation rate, other relevant cost indices and the number of months it is anticipated that it will take to go out to bid should be taken into consideration. The following type of information is suggestive of what is needed but may not be applicable to all projects. Indicate the specific project, its size (square feet, linear feet, volume, number, etc.), to what degree it solves the problem identified in the description of need(s), length of time needed for completion, location of facility in reference to population served (map should be provided) if appropriate. Indicate whether the project will aid more than one Tribe/municipal government, how project will be carried out (tribal force account, third party contract, tribal based non-profit corporation, local development corporation, etc.), what funds will be required from other sources and current status of funds. Indicate other elements which enhance the quality of the proposed project. If funds are requested for planning and/or technical assistance, a separate project summary must be completed describing the proposed use of these funds. It is in this component that data should be provided on the service area population of the project including the number and percent of these persons who are of low or moderate income status. **The income levels to be used by applicants to evaluate the income characteristics of the service area population of a proposed project will be provided by the ONAP office that serves your community.** Additional pages may be attached.

Public reporting burden for this collection of information is estimated to average 20 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. This agency may not collect this information, and you are not required to complete this form, unless it displays a currently valid OMB control number.

This collection of information requires that each eligible applicant submit information to enable HUD to select the best projects for funding during annual competitions for the ICDBG Program. The information will be used by HUD to determine whether applications meet minimum screening eligibility requirements and application submission requirements. Applicants provide general information about the project which is preliminary to the review of the applicant's response to the criteria for rating the application. The information is essential for HUD in monitoring grants to ensure that grantees are making proper use of Federal dollars. Responses to the collection are required by Section 105 of the Department of Housing and Urban Development Reform Act (P.L. 101-235) as amended by the Cranston-Gonzales National Affordable Housing Act of 1990. The information requested does not lend itself to confidentiality.

Public reporting burden for this collection of information is estimated to average 40 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. This agency may not collect this information, and you are not required to complete this form, unless it displays a currently valid OMB control number.

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Instructions for Item 4.

Project Name and Project Type

Participants enter the project name and the name of one of the following three categories of activities:

- Housing
- Community Facilities
- Economic Development

Also enter the component name if applicable. Use a separate Cost Summary sheet (form HUD-4123) for each project included in the application.

Examples of categories and/or components including examples of eligible activities are listed below.

Housing

Rehabilitation Component

- Rehabilitation
- Demolition

Land to Support New Housing Component

New Housing Construction Component

Community Facilities

Infrastructure Component

- Water
- Sewer
- Roads and Streets
- Storm Sewers

Buildings Component

- Health Clinic
- Daycare Center
- Community Center
- Multi-purpose Center

Economic Development

- Commercial (wholesale, retail)
- Industrial
- Motel/Hotel
- Restaurant
- Agricultural Development

OMB Approval No. 2577-0191
(exp. 1/31/2000)

**U.S. Department of Housing
and Urban Development**
Office of Public and Indian Housing

Implementation Schedule
Indian Community Development Block Grant (ICDBG)

See Instructions and Public Reporting Statement on back.
Submit a separate implementation schedule for each project category.

1. Name of Applicant (as shown in Item 5, Standard Form 424) _____

2. Application/Grant Number (to be assigned by HUD) _____

3. Original (First submission to HUD) Pre-Award Submission Amendment (submitted after grant approval) _____ Date (mm/dd/yyyy)

4. Name of Project (as shown on form HUD-4123, item 4) _____

5. Effective Date (mm/dd/yyyy) _____ Expected Completion Date (mm/dd/yyyy) _____ Expected Closeout Date (mm/dd/yyyy) _____

6. Environmental Review Status

Exempt (As described in 24 CFR 58.34) **Under Review** (Review underway; findings not yet made) **Finding of No Significant Impact** (Finding made that request for release of funds for project is not an action which may significantly affect the environment.)

EIS Required (Finding that project may significantly affect environment or EIS automatically required by 24 CFR 58.37) **Not Started** (Review not yet begun) **Categorically Excluded** (as described in 24 CFR 58.35) **7. Tribal Fiscal Year** (mm/dd/yyyy)

8. Task List _____

9. Schedule. _____

(List tasks such as environmental assessment, acquisition, etc.) Use Calendar Year (CY) quarters. Fill in the CY below. See detailed instructions on back.

	CY								Date (mm/dd/yyyy) (if exceeds 8th Quarter)	
	1st Qtr.	2nd Qtr.	3rd Qtr.	4th Qtr.	5th Qtr.	6th Qtr.	7th Qtr.	8th Qtr.		
10. Planned Drawdowns by Quarter (Enter amounts non-cumulatively)	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$ Total
11. Cumulative Drawdown (If more than one page, enter total on last page only)	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$ Total

Public reporting burden for this collection of information is estimated to average 40 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Reports Management Officer, Paperwork Reduction Project (2577-0191), Office of Information Technology, U.S. Department of Housing and Urban Development, Washington, D.C. 20410-3600. This agency may not collect this information, and you are not required to complete this form, unless it displays a currently valid OMB control number. This collection of information requires that each eligible applicant submit information to enable HUD to select the best projects for funding during annual competitions for the ICDBG Program. The information will be used by HUD to determine whether applications meet minimum screening eligibility requirements and application submission requirements. Applicants provide general information about the project which is preliminary to the review of the applicant's response to the criteria for rating the application. The information is essential for HUD in monitoring grants to ensure that grantees are making proper use of Federal dollars. Responses to the collection are required by Section 105 of the Department of Housing and Urban Development Reform Act (P.L. 101-235) as amended by the Cranston-Gonzales National Affordable Housing Act of 1990. The information requested does not lend itself to confidentiality.

Instructions for Item 9 Schedule: Use Calendar Year (CY) quarters. Fill-in the CY below. If the project begins in May, for example, enter under "1st Qtr.", A(April), M(May), J(June). Indicate time period required to complete each activity, e.g., acquisition, by entering "X" under the months it will begin and end. Draw a horizontal line from the first to the second "X". If the completion date will extend beyond the 8th quarter, enter date in the far right column and attach an explanation.

Certifications

Indian Community Development
Block Grant (ICDBG)

**U.S. Department of Housing
and Urban Development**
Office of Public and Indian Housing

OMB Approval No. 2577-0191
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The grantee hereby certifies and assures that it will comply with the regulations, guidelines, and requirements with respect to the acceptance and use of Federal funds for this Federally-assisted program. Also, the grantee gives assurances and certifies with respect to the grant that:

- A. It possesses the legal authority to apply for the grant and execute the proposed program.
- B. The governing body has duly authorized the filing of the application, including all understandings and assurances contained in the application and has directed and authorized the person identified as the official representative of the applicant to act in connection with the application and to provide such additional information as may be required.
- C. It will comply with the HUD general administration requirements in 24 CFR Part 85.
- D. It will comply with the requirements of Title II of Public Law 90-284 (25 USC 1301) (the Indian Civil Rights Act).
- E. It will comply with the Indian preference provisions required in 24 CFR 1003.510.
- F. It will establish written safeguards to prevent employees from using positions funded under the ICDBG programs for a purpose that is, or gives the appearance of being, motivated by private gain for themselves, their immediate family or business associates. Nothing in this certification should be construed as to limit employees from benefiting from program activities for which they would otherwise be eligible.
- G. It will give HUD and the Comptroller General access and right to examine all books, records, papers, or documents related to the grant for a period of not less than three years after program completion or until resolution of any final audit findings.
- H. Neither the applicant nor its principals are presently excluded from participation in any HUD programs, as required by 24 CFR part 24.
- I. It will comply with the acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970, as amended, implementing regulations at 49 CFR part 24 and the requirements of 24 CFR 1003.602.
- J. The chief executive officer or other official of the applicant approved by HUD:
 - 1. Consents to assume the status of a responsible Federal official under the National Environmental Policy Act of 1969 insofar as the provisions of the Act apply to the applicant's proposed program pursuant to 24 CFR 1003.605.
- 2. Is authorized and consents on behalf of the applicant and him/her self to accept the jurisdiction of the Federal courts for the purpose of enforcement of his/her responsibilities as such an official.

Note: Applicants for whom HUD has approved a claim of incapacity to accept the responsibilities of the Federal government for purposes of complying with the environmental review requirements of 24 CFR part 58 pursuant to 24 CFR 1003.605 need not include the provision of paragraph J in their assurance.
- K. It will comply with the requirements of Section 3 of the Housing and Urban Development Act of 1968 and the regulations in 24 CFR part 135 (Economic Opportunities for Low and Very Low Income Persons) to the maximum extent consistent with, but not in derogation of, compliance with Section 7(b) of the Indian Self-Determination and Education Assistance Act (25 USC. 450e(b)).
- L. It will comply with the requirements of the Fire Authorization Administration Act of 1992 (Pub.L. 102-522).
- M. It will provide the drug-free workplace required by 24 CFR part 24, subpart F.
- N. It will comply with 24 CFR, part 4, subpart A, showing full disclosure of all benefits of the project as collected by Form HUD-2880, Applicant/Recipient Disclosure Report.
- O. Prior to submission of its application to HUD, the grantee has met the citizen participation requirements which includes following traditional means of member involvement, as required in 24 CFR 1003.604.
- P. It will administer and enforce the labor standard requirements prescribed in 24 CFR 1003.603.
- Q. The Program has been developed so that not less than 70 percent of the funds received under this grant will be used for activities that benefit low- and moderate-income persons.

Note: Applicants receiving Imminent Threat Grants need not include the provision of this paragraph in their assurance

Name (type or print)	Title
Signature	Date (mm/dd/yyyy)

Previous editions are obsolete

form HUD-4126 (12/98)