

**For Additional Information**

Additional information about topic nominations can be obtained by contacting: Margaret Coopey, Health Policy Analyst, Office of the Forum for Quality and Effectiveness in Health Care, Agency for Health Care Policy and Research, 6000 Executive Boulevard, Willco Building, Suite 310, Rockville, Maryland 20852, telephone (301) 594-4015. E-mail address mcoopey@po6.AHCPR.gov.

Dated: December 18, 1996.

Clifton R. Gaus,

*Administrator.*

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**Food and Drug Administration**

[Docket No. 96N-0457]

**Agency Information Collection Activities; Submission for OMB Review; Comment Request**

**AGENCY:** Food and Drug Administration, HHS.

**ACTION:** Notice.

**SUMMARY:** The Food and Drug Administration (FDA) is announcing that the proposed collection of information listed below has been submitted to the Office of Management and Budget (OMB) for review and clearance under the Paperwork Reduction Act of 1995.

**DATES:** Submit written comments on the collection of information by January 21, 1997.

**ADDRESSES:** Submit written comments on the collection of information to the Office of Information and Regulatory Affairs, OMB, New Executive Office Bldg., 725 17th St. NW., rm. 10235, Washington, DC, Attention: Desk Officer for FDA.

**FOR FURTHER INFORMATION CONTACT:** Margaret R. Wolff, Office of Information

Resources Management (HFA-250), Food and Drug Administration, 5600 Fishers Lane, rm. 16B-19, Rockville, MD 20857, 301-827-1223.

**SUPPLEMENTARY INFORMATION:** In compliance with section 3507 of the Paperwork Reduction Act of 1995 (44 U.S.C. 3507), FDA has submitted the following proposed collection of information to OMB for review and clearance:

Cosmetic Product Voluntary Reporting Program (21 CFR 720.4, 720.6, 720.8(b)) (OMB Control Number 0910-0030—Reinstatement)

Under the Federal Food, Drug, and Cosmetic Act (the act) cosmetic products that are adulterated under section 601 of the act (21 U.S.C. 361) or misbranded under section 602 of the act (21 U.S.C. 362) cannot legally be distributed in interstate commerce. To assist FDA in carrying out its responsibility to regulate cosmetics, FDA has requested, under part 720 (21 CFR part 720), but does not require, that firms that manufacture, pack, or distribute cosmetics file an ingredient statement for each of their products with the agency (§ 720.4). Ingredient statements for new submissions (§ 720.1) are reported on Form FDA 2512, entitled "Cosmetic Product Ingredient Statement" and Form FDA 2512a, a continuation form. Changes in product formulation (§ 720.6) are also reported on Forms FDA 2512 and FDA 2512a. When a firm discontinues the commercial distribution of a cosmetic, FDA requests that the firm file Form FDA 2514, entitled "Discontinuance of Commercial Distribution of Cosmetic Product Formulation" (§ 720.6). If any of the information submitted on or with these forms is confidential, the firm may submit a request for confidentiality under § 720.8.

FDA uses the information received on these forms as input in a computer-

based information storage and retrieval system. These voluntary formula filings provide FDA with the best information available about cosmetic product formulations, ingredients and their frequency of use, businesses engaged in the manufacture and distribution of cosmetics, and approximate rates of product discontinuance and formula modifications. FDA's data base also lists cosmetic products containing ingredients suspected to be carcinogenic or otherwise deleterious to humans and the public health generally. The information provided under the Cosmetic Product Voluntary Reporting Program assists FDA scientists in evaluating reports of alleged injuries and adverse reactions to the use of cosmetics. The information also is utilized in defining and planning analytical and toxicological studies pertaining to cosmetics.

FDA shares nonconfidential information from its files on cosmetics with consumers, medical professionals, and industry. For example, by submitting a Freedom of Information Act request, consumers can obtain information about which products do or do not contain a specified ingredient and about the levels at which certain ingredients are typically used. Dermatologists use FDA files to cross-reference allergens found in patch test kits with cosmetic ingredients. The Cosmetic, Toiletry, and Fragrance Association, which is conducting a review of ingredients used in cosmetics, has relied on data provided by FDA in selecting ingredients to be reviewed based on the frequency of use.

FDA estimates the burden of the cosmetic product for each submission will vary in relation to the size of the company and the breadth of its marketing activities. The estimated reporting burden of this collection of information is as follows:

**ESTIMATED ANNUAL REPORTING BURDEN**

21 CFR Section	Form No.	No. of Respondents	Annual Frequency per Response	Total Annual Responses	Hours per Response	Total Hours
720.1 & 720.4 (new submissions)	FDA 2512/2512a	550	4.2	2,310	0.50	1,155
720.4 & 720.6 (amendments)	FDA 2512/2512a	550	1.4	770	0.33	254
720.6 (notice of discontinuance)	FDA 2514	550	4.5	2,500	0.10	250
720.8(b) (request for confidentiality)		2	1.0	2	1.50	3
<b>Total</b>				<b>5,582</b>		<b>1,662</b>

There are no capital costs or operating and maintenance costs associated with this collection.

This estimate is based on the number and frequency of submissions received in the past and on discussions between FDA staff and respondents during routine communications. The actual time required for each submission will vary in relation to the size of the company and the breadth of its marketing activities.

Dated: December 12, 1996.

William K. Hubbard,  
*Associate Commissioner for Policy  
Coordination.*

[FR Doc. 96-32426 Filed 12-20-96; 8:45 am]

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[Docket No. 95D-0115]

### **Compliance Policy Guides Manual; Availability**

**AGENCY:** Food and Drug Administration, HHS.

**ACTION:** Notice.

**SUMMARY:** The Food and Drug Administration (FDA) is announcing the availability of an updated bound edition of "FDA Compliance Policy Guides" (CPG manual). The CPG manual explains FDA's policy on regulatory issues related to FDA laws and regulations. The CPG manual is intended to provide guidance to FDA field inspection and compliance staffs.

**ADDRESSES:** The CPG manual may be ordered from National Technical Information Service (NTIS), U.S. Department of Commerce, 5285 Port Royal Rd., Springfield, VA 22161. Orders must reference NTIS order number PB96-915499 for each copy of the document. Payment may be made by check, money order, charge card (American Express, Visa, or MasterCard), or billing arrangements made with NTIS. Charge card orders must include the charge card account number and expiration date. For telephone orders or further information on placing an order, call NTIS at 703-487-4650. The CPG manual is available for public examination in the Dockets Management Branch (HFA-305), Food and Drug Administration, 12420 Parklawn Dr., rm. 1-23, Rockville, MD 20857, between 9 a.m. and 4 p.m., Monday through Friday.

**FOR FURTHER INFORMATION CONTACT:** Barbara A. Rodgers, Office of Regulatory Affairs (HFC-230), Food and Drug Administration, 5600 Fishers Lane, Rockville, MD 20857, 301-827-0417.

**SUPPLEMENTARY INFORMATION:** FDA is issuing the updated bound edition of the CPG manual to provide information both on new and revised CPG's. CPG's

that are new or revised with this printing are identified in the index at the end of the manual.

The statements made in the CPG manual are not intended to create or confer any rights, privileges, or benefits on or for any private person or to bind FDA, but they are intended merely for internal FDA guidance.

Dated: December 12, 1996.

William K. Hubbard,  
*Associate Commissioner for Policy  
Coordination.*

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### **Establishment Prescription Drug User Fee Revenues and Rates Fiscal Year 1997**

**AGENCY:** Food and Drug Administration, HHS.

**ACTION:** Notice.

**SUMMARY:** The Food and Drug Administration (FDA) is announcing that it is establishing user fee revenues and rates for Fiscal Year (FY) 1997. The Prescription Drug User Fee Act of 1992 (the PDUFA) authorizes FDA to collect user fees for certain applications for approval of drug and biological products, on establishments where the products are made, and on such marketed products. Fees for applications, establishments, and products for FY 1993 were established by the PDUFA. Fees for future years are to be determined by FDA using criteria delineated in the statute.

**FOR FURTHER INFORMATION CONTACT:** Michael E. Roosevelt, Office of Financial Management (HFA-120), Food and Drug Administration, 5600 Fishers Lane, Rockville, MD 20857, 301-443-4872.

#### **SUPPLEMENTARY INFORMATION:**

##### **I. Background**

The PDUFA (Pub. L. 102-571) establishes three different kinds of user fees. Fees are assessed on: (1) Certain types of applications and supplements for approval of drug and biologic products, (2) certain establishments where such products are made, and (3) certain marketed products (21 U.S.C. 379h(a)). When certain conditions are met, FDA may waive or reduce fees (21 U.S.C. 379h(d)). Under the PDUFA, one-third of the total user fee revenue for each FY must come from each of the three types of fees.

For FY 1993, the total revenues to be derived from fees and the fee rates for each of the categories were established in the PDUFA (21 U.S.C. 379h(b)(1)).

For FY 1994 through 1997, however, the PDUFA establishes only target total fee revenues and fees. For these years, FDA is authorized to increase the total fee revenues and to establish new fee rates for each of the three categories so that the revised total fee revenues are realized (21 U.S.C. 379h(c)).

This notice establishes total fee rates for FY 1997. These fees are retroactive to October 1, 1996, and will remain in effect through September 30, 1997. For fees already paid on applications and supplements submitted on or after October 1, 1996, FDA will bill/refund applicants for the difference between fees paid and fees due under the new fee schedules. For applications and supplements submitted after December 31, 1996, the new fee schedule should be used. Invoices for establishment and product fees for FY 1997 will be issued in December 1996, using the new fee schedules.

##### **II. Revenue Increase and Fee Adjustment Process**

The PDUFA provides that total fee revenues for each FY, as set out in the original fee schedule (see 21 U.S.C. 379h(b)(1)), shall be increased by notice in the Federal Register. The increase must reflect the greater of: (1) The total percentage increase that occurred during the FY in the Consumer Price Index (the CPI) (all items; U.S. city average), or (2) the total percentage pay increase for that FY for Federal employees, as adjusted for any locality-based payment applicable to employees stationed in the District of Columbia (see 21 U.S.C. 379h(c)(1)). The PDUFA also provides that within 60 days after the end of each FY, FDA shall adjust the user fee rates in each of the three categories of fees (application, establishment, and product) to achieve the revised total fee revenues. The new individual user fees must be adjusted in a manner that maintains the proportions established in the original fee schedules, so that approximately one-third of the revenues will come each from applications, establishments, and product fees (21 U.S.C. 379h(c)(2)).

##### **III. Total Fee Revenue Adjustment**

For FY 1996, the total percentage increase in the CPI was 3.00 percent, whereas the increase in applicable Federal salaries for FY 1997 is 3.33 percent. Thus, for computing the total fee revenues for FY 1997, the percentage is 3.33. The new adjusted total fee revenue is computed by applying the increase as a percentage (103.33 percent) to the FY 1997 target fee revenue amount from the PDUFA schedule (\$84 million). The FY 1997